

# Binary Equilibrium Optimizer Algorithm

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**ABSTRACT.** *Equilibrium optimizer (EO) is a new proposed meta-heuristic algorithm by utilizing the mass balance model of the control volume. In order to solve the binary applications, this paper proposes a binary version of equilibrium optimizer (BEO). BEO takes advantage of the structure of EO, only modifying the equations of equilibrium concentration and position updating. Through the benchmark functions and Wilcoxon's rank sum test, it compares BEO with binary bat algorithm, binary differential evolution, binary grey wolf optimizer, binary particle swarm optimization, and a binary hybrid algorithm of particle swarm optimization and gravitational search algorithm. BEO shows exceptional performance in the solving quality, time complexity and convergence. Simulation results prove that BEO acquires the least classification errors while having a small number of features in the KNN.*

**Keywords:** Equilibrium optimizer; Binary; Feature selection; KNN

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1. **Introduction.** With the development of information technology and the growth of sensors, people have produced a large number of data [1–4]. But the data does not provide sufficient knowledge, and even noise data weakens the decision-making ability [5–7]. The dimension reduction is one of the most basic preprocessing methods in data mining [8–10].

Feature construction transforms the data set from high dimensional space to low space, which is more suitable for the learning process [11, 12]. However, it changes the structure of features and they are not easily explained [13, 14]. Feature selection chooses a feature subset from the original features and discards the features that are harmful to the subsequent learning process [15–17].

Feature selection contains filter, wrapper and embedded approaches [18]. The filter method is based on the statistical information of features and does not involve a specific learning algorithm. Hence, it has efficient and fast. The wrapper adopts a given algorithm to the feature subset and its performance is better than filter [19, 20].

Since the main goal of feature selection is to maximize the classification accuracy while minimizing the number of selected features, it is regarded as an optimization task [21, 22]. If the original data set has  $n$  features, then  $2^n - 1$  subsets are generated. It is impractical when  $n$  is very large [23]. Meta-heuristics have the powerful abilities of global search and local search [24–27], and they contain evolutionary computation, swarm intelligence and algorithms based on physical phenomena [28–31].

Neggaz et al. used sine function to update the follower’s position in sine cosine algorithm (SSA) to improve the exploration stage and avoid local stagnation [32]. O’Neill et al. claimed three initialization strategies of particle swarm optimization (PSO) and new update mechanisms of personal and global optimal solutions to implement feature selection [33]. Mafarja et al. brought a hybrid meta-heuristic method to overcome the shortcomings of immature convergence and stagnation [34]. Arora et al. introduced a binary butterfly optimization algorithm (BOA) and applied it to the classification problem in wrapper mode [35]. Faris et al. utilized eight transfer functions to convert continuous salp swarm algorithm (SSA) to binary versions and a crossover operator to promote the exploration of the algorithm [36]. Hu et al. improved the binary gray wolf optimizer (GWO) and proposed new transfer functions and position equation [37].

Equilibrium optimizer (EO) is a novel meta-heuristic [38]. It has shown excellent performance in the optimizations of engineering and parameter, and image processing [39]. This paper proposes a binary version of EO and adopts it in the feature selection.

The organization of this paper is as follows: Section 2 describes the concise introduction of equilibrium optimizer. Section 3 presents the fundamental principles of the proposed binary equilibrium optimizer (BEO). Section 4 discusses the experimental results of the benchmark functions and BEO is compared with five famous binary optimization methods, including: 1) BDE [40], a binary version of evolutionary algorithm differential evolution (DE). 2) BPSO [41], a binary version of swarm intelligence algorithm PSO. 3) BBA [42] and BGWO [43], two binary versions of newly swarm intelligence algorithms bat algorithm (BA) and GWO. 4) BPSOGSA [44], a binary version of hybrid algorithm of PSO and gravitational search algorithm (GSA). BEO achieves great results in the solving quality, time complexity and convergence. Section 5 implements feature selection by the compared algorithms and argues the results. Section 6 concludes the works and suggests several directions for further studies.

2. **Equilibrium Optimizer.** Equilibrium optimizer is a newly physics-based algorithm, proposed by Faramarzi et al. in 2020. It utilizes the dynamic models of sink and source for estimating equilibrium concentration.

**2.1. Inspiration Analysis.** The mass conservation equation represents the equilibrium calculation for adding and removing mass in a defined fluid region. Suppose  $V$  is a fixed, undeformed fluid volume, called the control volume. The mass conservation requires that the change rate of mass in the control volume ( $V$ ) over time is equal to the mass rate entering the  $V$  plus the incremental/lost mass rate in the  $V$  due to the source and sink effects. The integral form of the mass conservation in the control volume is expressed as follows:

$$V \frac{dC}{dt} = QC_{eq} - QC + G \quad (1)$$

where  $C$  represents the concentration in the  $V$ .  $\frac{dC}{dt}$  means the change rate of mass in the  $V$ .  $Q$  denotes the volume flow in and out of the  $V$ .  $C_{eq}$  is the concentration in the equilibrium state, in which there is no production in the  $V$ .  $G$  is the generation rate of mass within the  $V$ . When  $\frac{dC}{dt}$  equals zero,  $V$  reaches a steady equilibrium state. Then, the function of time ( $t$ ) for the concentration ( $C$ ) in the  $V$  is obtained by rearranging Eq (1).

$$\frac{dC}{\lambda C_{eq} - \lambda C + \frac{G}{V}} = dt \quad (2)$$

where  $\lambda = \frac{Q}{V}$ , Eq (3) is computed by integrating of Eq (2).

$$\int_{C_0}^C \frac{dC}{\lambda C_{eq} - \lambda C + \frac{G}{V}} = \int_{t_0}^t dt \quad (3)$$

Finally, Eq (4) is calculated by Eq (3).

$$C = C_{eq} + (C_0 - C_{eq})F + \frac{G}{\lambda V}(1 - F) \quad (4)$$

where  $F = \exp[-\lambda(t - t_0)]$ .  $C_0$  and  $t_0$  are the initial values of concentration and time. Eq (4) can either calculate the concentration in the  $V$  by a given turnover rate, or compute the average turnover rate with a simple linear regression using the given generation rate and other prerequisites.

**2.2. Mathematical Model of EO.** EO randomly initializes the positions of the population and a concentration represents the position of a particle.  $V$  is a unit volume. The position updating is defined as follows.

$$\vec{C}_i(n+1) = \vec{C}_{eq}(n) + (\vec{C}_i(n) - \vec{C}_{eq}(n))\vec{F}(n) + \frac{\vec{G}(n)}{\lambda}(1 - \vec{F}(n)) \quad (5)$$

$C_{eq}$  is the equilibrium pool and is constructed by the positions of the first four optimal solutions and their average value. The algorithm randomly chooses one from  $C_{eq}$  for each run.

$F$  is an exponential term, which is used to control the balance between exploration and exploitation of the algorithm.

$$t(n) = \left(1 - \frac{n}{Max\_iter}\right)^{(2\frac{n}{Max\_iter})} \quad (6)$$

$$\vec{F}(n) = \text{sign}(\vec{r} - 0.5)[e^{-\vec{\lambda}t(n)} - 1] \quad (7)$$

where  $Max\_iter$  means the maximum iteration.  $\vec{r}$  and  $\vec{\lambda}$  are two random vectors between  $[0,1]$ .  $Sign$  is the signum function of Matlab.  $G$  assists the algorithm to acquire better performance and it is computed as follows.

$$GCP = \begin{cases} 0.5r_1 & \text{if } (r_2 \geq GP) \\ 0 & \text{else} \end{cases} \quad (8)$$

$$\vec{G}_0(n) = GCP * (\vec{X}_{eq}(n) - \vec{X}_i(n)) \quad (9)$$

$$\vec{G}(n) = \vec{G}_0(n) * \vec{F}(n) \quad (10)$$

where  $r_1$  and  $r_2$  are two random numbers between  $[0,1]$ .

**3. Binary Equilibrium Optimizer (BEO).** In the EO, particles can be anywhere in the search space. While the position of a particle is encoded with a binary vector in the binary equilibrium optimizer (BEO), particles update their positions by changing 0 to 1, or 1 to 0. Their positions are very restricted and BEO cannot utilize the Eq (6) to perform the position updating. The difference between EO and BEO is the position updating mechanism. Consequently, several models of EO are modified to meet the needs of BEO.

In the EO, the position updating of a particle is mainly accomplished by the equilibrium pool, exponential term  $F$  and generation rate  $G$ . The equilibrium pool contains the first four optimal solutions and the average of them, but the value may not be 1 or 0 in the binary EO. For example, in the  $j^{th}$  dimension,  $C_{eq,1}^j = 1$ ,  $C_{eq,2}^j = 1$ ,  $C_{eq,3}^j = 1$  and  $C_{eq,4}^j = 0$ , then  $C_{eq,avg}^j = 0.75$ , which contradicts the position requirement of BEO. So the updating of  $C_{eq,avg}^j$  is redefined as following:

$$C_{eq,avg}^j(n) = \begin{cases} 1 & \text{if } (C_{eq,1}^j(n) + C_{eq,2}^j(n) + C_{eq,3}^j(n) \\ & + C_{eq,4}^j(n)) \geq 2 \\ 0 & \text{else} \end{cases} \quad (11)$$

Eq (11) ensures that all solutions in the equilibrium pool are located at 0 or 1 of the hypercube. In the EO, only when the values of the four optimal solutions are all 1 or all 0 can the requirements of BEO be satisfied. From Eq (11), it is known that if half of the first four optimal values are 1,  $C_{eq,avg}$  is 1. BEO has a high probability of acquiring 1 from the equilibrium pool.

$F$  is used to control the exploration and exploitation of EO and  $G$  enhances the algorithm's global and local search. Both of them play an important role in the updating position of EO. In BEO, they need to be mapped to the  $[0, 1]$  space using a transfer function and then they are compared with a random number between  $[0,1]$ . BEO adopts the following transfer function and the curve of it is shown in the Figure 1:

$$V(x) = 1/(1 + \exp(-10 * (x - 0.4))) \quad (12)$$

where  $x = (C_i^j - C_{eq}^j) * F + (G/\lambda) * (1 - F)$ .

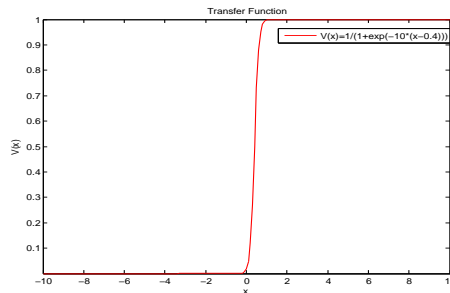


FIGURE 1. BEO's transfer function.

After calculating the value of transfer function, a new position updating equation is proposed.

$$C_i^j = \begin{cases} 1 - C_i^j & \text{if } (V(x) \geq rand) \\ C_i^j & \text{else} \end{cases} \quad (13)$$

Algorithm 1 is the pseudo code of BEO.

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**Algorithm 1:** BEO
 

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1 Initialize the related parameters of EO;
2 Randomly generate the positions of particles;
3 Compute the fitness of each particle;
4 for  $it = 1 : MAX\_IT$  do
5    $C_{eq,1}$  = the position of the first optimal solution;
6    $C_{eq,2}$  = the position of the second optimal solution;
7    $C_{eq,3}$  = the position of the third optimal solution;
8    $C_{eq,4}$  = the position of the fourth optimal solution;
9   for  $d = 1 : dim$  do
10    Use Eq (11) to update  $C_{eq,avg}^d$ ;
11    $C_{pool} = [C_{eq,1}; C_{eq,2}; C_{eq,3}; C_{eq,4}; C_{eq,avg}]$ ;
12    $t = (1 - it/MAX\_IT)^{(2*n/MAX\_IT)}$ ;
13   for  $i = 1 : population\_size$  do
14      $C_{eq} = C_{pool}(randi(size(C_{pool}, 1)), :)$ ;
15     for  $d = 1 : dim$  do
16        $\lambda = rand()$  ;
17        $r = rand()$  ;
18        $F = sign(r - 0.5) * (exp(-\lambda * t) - 1)$ ;
19        $r1 = rand()$ ;
20        $r2 = rand()$ ;
21        $GCP = 0.5 * r1 * (r2 \geq GP)$ ;
22        $G_0 = GCP * (C_{eq}(d) - \lambda * X(i, d))$ ;
23        $G = G_0 * F$ ;
24        $tran\_val = (C(i, d) - C_{eq}(d)) * F + (G/\lambda) * (1 - F)$ ;
25       Use Eq (12) to acquire  $s$  by  $tran\_val$ ;
26       Use Eq (13) to update  $C(i, d)$  by  $s$ ;
27     Update the fitness of  $C(i)$ ;
28 Output  $C_{eq,1}$ ;

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**4. Experimental Results and Analysis.** In this section, 29 benchmark functions examine the abilities of BEO, where the functions have been used by EO and many researchers. In order to validate the performance of BEO, it is compared with BBA, BDE, BGWO, BPSO and BPSOGSA. Table 1 describes the details of the benchmark functions. *Space* shows the boundary of search space; *Dim* denotes the dimension and  $f_{min}$  represents the optimum.

Benchmark functions include unimodal, multimodal, fixed-dimension and composite functions. Where  $f_1$ - $f_7$  are unimodal functions,  $f_8$ - $f_{13}$  are multimodal functions,  $f_{14}$ - $f_{23}$  are fixed-dimension functions, the rests are composite functions.

To make a fair comparison, all algorithms run 500 iterations and 30 times in every benchmark function, and their population size is 30. Table 2 lists the values of the key parameters of the compared algorithms. For the convenience of reading, all experimental data in this section and Section 5 are rounded to four decimal places. Wilcoxon's rank sum test is performed at a 5% significance level to judge whether the experimental results are statistically significant.

Table 3 shows the average (AVG) and standard deviation (STD) values of the compared algorithms in the benchmark functions. In Table 3, if the compared algorithms acquire the best result in the benchmark function, their data has red color. Table 4 is the results of Wilcoxon's rank-sum test based on BEO. "+" shows that the compared algorithm is superior to BEO, and "=" means that the algorithms have the same results. "-" represents that the algorithm is inferior to BEO.

**4.1. Analyse the Solution Quality.** From Table 3, it is concluded that BEO has the best performance. Except for  $f_{25}$ , it has the optimal results in the compared algorithms. BPSOGSA wins the second performance and performs well in 18 test functions, which is superior to the test results of BPSO. As can be seen from Table 4, BBA implements the worst and has 20 test functions that are inferior to BEO. BDE has 12 test functions worse than BEO, but only it has the only function better than BEO. BGWO is not as good as BEO in 16 test functions. BPSO and BPSOGSA have 7 and 6 functions that are worse than BEO. In terms of stability, BEO also executes perfectly. It is only slightly less stable in  $f_{25}$  (4.1422) and other test functions have no significant fluctuations. BPSOGSA has well stability in the multimodal and fixed-dimension functions. BPSO has good stability in the fixed-dimension functions. Regardless of the solution quality and stability, BEO is superior to other compared algorithms. This is an evidence that the parameters  $F$  and  $G$  well balance the local search and global search of the BEO, and have a forceful ability to find the optimal value.

BEO achieves satisfying results in the unimodal functions, except for  $f_5$ . The results acquired by other algorithms are not as good as BEO. BPSOGSA obtains the optimal solution in 2 test functions. BDE and BGWO acquire the optimal solution in 1 function. It demonstrates that BEO has high performance in seeking for the global solutions in the unimodal functions. The generation rate  $G$  helps the algorithm to exploit the optimal solution more carefully in the known space.

BEO again performs well in the multimodal functions, especially it earns the theoretically optimal value in  $f_9$ ,  $f_{10}$ ,  $f_{11}$  and  $f_{13}$ . BPSOGSA achieves the optimal solution in  $f_9$ ,  $f_{10}$  and  $f_{13}$ . BDE and BGWO acquire the optimal result in  $f_{13}$ . Multimodal function contains exponential local optima, so it is appropriate to judge whether the algorithm prevents falling into local minima. This shows that BEO effectively avoids local optimum and has the ability to jump out of local traps. The exponential term  $F$  makes BEO search for the optimal value in more space.

TABLE 1. The benchmark functions.

Function	Space	Dim	$f_{\min}$
$f_1(x) = \sum_{i=1}^n x_i^2$	[-100, 100]	30	0
$f_2(x) = \sum_{i=1}^n  x_i  + \prod_{i=1}^n  x_i $	[-10, 10]	30	0
$f_3(x) = \sum_{i=1}^n (\sum_{j=1}^i x_j)^2$	[-100, 100]	30	0
$f_4(x) = \max_i \{ x_i , 1 \leq i \leq n\}$	[-100, 100]	30	0
$f_5(x) = \sum_{i=1}^{n-1} [100(x_{i+1} - x_i)^2 + (x_i - 1)^2]$	[-30, 30]	30	0
$f_6(x) = \sum_{i=1}^n ([x_i + 0.5])^2$	[-100, 100]	30	0
$f_7(x) = \sum_{i=1}^n ix_i^4 + \text{random}[0, 1]$	[-1.28, 1.28]	30	0
$f_8(x) = \sum_{i=1}^n -x_i \sin(\sqrt{ x_i })$	[-500, 500]	30	-12569
$f_9(x) = \sum_{i=1}^n [x_i^2 - 10 \cos(2\pi x_i) + 10]$	[-5.12, 5.12]	30	0
$f_{10}(x) = -20 \exp(-0.2 \sqrt{\frac{1}{n} \sum_{i=1}^n x_i^2}) - \exp(\frac{1}{n} \sum_{i=1}^n \cos(2\pi x_i)) + 20 + e$	[-32, 32]	30	0
$f_{11}(x) = \frac{1}{4000} \sum_{i=1}^n x_i^2 - \prod_{i=1}^n \cos(\frac{x_i}{\sqrt{i}}) + 1$	[-600, 600]	30	0
$f_{12}(x) = \frac{\pi}{n} \{10 \sin(\pi y_1) + \sum_{i=1}^{n-1} (y_i - 1)^2 [1 + 10 \sin^2(\pi y_{i+1})] + (y_n - 1)^2\} +$ $\sum_{i=1}^n u(x_i, 10, 100, 4) y_i = 1 + \frac{x_i + 1}{4} u(x_i, a, k, m) =$ $\begin{cases} k(x_i - a)^m & x_i > a \\ 0 & -a < x_i < a \\ k(-x_i - a)^m & x_i < -a \end{cases}$	[-50, 50]	30	0
$0.1 \{ \sin^2(3\pi x_1) + \sum_{i=1}^n (x_i - 1)^2 [1 + \sin^2(3\pi x_i + 1)] + (x_n - 1)^2 [1 + \sin^2(2\pi x_n)] \} + \sum_{i=1}^n u(x_i, 10, 100, 4)$	[-50, 50]	30	0
$f_{14}(x) = (\frac{1}{500} \sum_{j=1}^{25} \frac{1}{j + \sum_{i=1}^2 (x_i - a_{ij})^6})^{-1}$	[-65, 65]	2	1
$f_{15}(x) = \sum_{i=1}^{11} [a_i - \frac{x_1(b_i^2 + b_i x_2 + x_4)}{b_i^2 + b_i x_3 + x_4}]^2$	[-5, 5]	4	0.00030
$f_{16}(x) = 4x_1^2 - 2.1x_1^4 + \frac{1}{3}x_1^6 + x_1x_2 - 4x_2^2 + 4x_2^4$	[-5, 5]	2	-1.0316
$f_{17}(x) = (x_2 - \frac{5.1}{4\pi^2}x_1^2 + \frac{5}{\pi}x_1 - 6)^2 + 10(1 - \frac{1}{8\pi})\cos x_1 + 10$	[-5, 5]	2	0.398
$f_{18}(x) = [1 + (x_1 + x_2 + 1)^2 (19 - 14x_1 + 3x_1^2 - 14x_2 + 6x_1x_2 + 3x_2^2)] \times [30 + (2x_1 - 3x_2)^2 \times (18 - 32x_1 + 12x_1^2 + 48x_2 - 36x_1x_2 + 27x_2^2)]$	[-2, 2]	2	3
$f_{19}(x) = -\sum_{i=1}^4 c_i \exp(-\sum_{j=1}^3 a_{ij}(x_j - p_{ij})^2)$	[1, 3]	3	-3.86
$f_{20}(x) = -\sum_{i=1}^4 c_i \exp(-\sum_{j=1}^6 a_{ij}(x_j - p_{ij})^2)$	[0, 1]	6	-3.32
$f_{21}(x) = -\sum_{i=1}^5 [(X - a_i)(X - a_i)^T + c_i]^{-1}$	[0, 10]	4	-10.1532
$f_{22}(x) = -\sum_{i=1}^7 [(X - a_i)(X - a_i)^T + c_i]^{-1}$	[0, 10]	4	-10.4028
$f_{23}(x) = -\sum_{i=1}^{10} [(X - a_i)(X - a_i)^T + c_i]^{-1}$	[0, 10]	4	-10.5363
$f_{24} \quad f_1, f_2, f_3, \dots, f_{10} = \text{Sphere Function}$ $[\sigma_1, \sigma_2, \dots, \sigma_{10}] = [1, 1, 1, \dots, 1]$	[-5, 5]	30	0
$[\lambda_1, \lambda_2, \dots, \lambda_{10}] = [5/100, 5/100, 5/100, \dots, 5/100]$			
$f_{25} \quad f_1, f_2, f_3, \dots, f_{10} = \text{Griewank's Function}$ $[\sigma_1, \sigma_2, \dots, \sigma_{10}] = [1, 1, 1, \dots, 1]$	[-5, 5]	30	0
$[\lambda_1, \lambda_2, \dots, \lambda_{10}] = [5/100, 5/100, 5/100, \dots, 5/100]$			
$f_{26} \quad f_1, f_2, f_3, \dots, f_{10} = \text{Griewank's Function}$ $[\sigma_1, \sigma_2, \dots, \sigma_{10}] = [1, 1, 1, \dots, 1]$ $[\lambda_1, \lambda_2, \dots, \lambda_{10}] = [1, 1, 1, \dots, 1]$	[-5, 5]	30	0
$f_{27} \quad f_1, f_2 = \text{Ackley's Function}, f_3, f_4 = \text{Rastrigin's Function},$ $f_5, f_6 = \text{Weierstrass Function}, f_7, f_8 = \text{Griewank's Function}$ $f_9, f_{10} = \text{Sphere Function}$ $[\sigma_1, \sigma_2, \dots, \sigma_{10}] = [1, 1, 1, \dots, 1]$	[-5, 5]	30	0
$[\lambda_1, \lambda_2, \dots, \lambda_{10}] = [5/32, 5/32, 1, 1, 5/0.5, 5/0.5, 5/100, 5/100, 5/100, 5/100]$			
$f_{28} \quad f_1, f_2 = \text{Rastrigin's Function}, f_3, f_4 = \text{Weierstrass Function},$ $f_5, f_6 = \text{Griewank's Function}, f_7, f_8 = \text{Ackley's Function}$ $f_9, f_{10} = \text{Sphere Function}$ $[\sigma_1, \sigma_2, \dots, \sigma_{10}] = [1, 1, 1, \dots, 1]$	[-5, 5]	30	0
$[\lambda_1, \lambda_2, \dots, \lambda_{10}] = [1/5, 1/5, 5/0.5, 5/0.5, 5/100, 5/100, 5/32, 5/32, 5/100, 5/100]$			
$f_{29} \quad f_1, f_2 = \text{Rastrigin's Function}, f_3, f_4 = \text{Weierstrass Function},$ $f_5, f_6 = \text{Griewank's Function}, f_7, f_8 = \text{Ackley's Function}$ $f_9, f_{10} = \text{Sphere Function}$ $[\sigma_1, \sigma_2, \dots, \sigma_{10}] = [0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, 1]$ $[\lambda_1, \lambda_2, \dots, \lambda_{10}] = [0.1 * 1/5, 0.2 * 1/5, 0.3 * 5/0.5, 0.4 * 5/0.5,$ $0.5 * 5/100, 0.6 * 5/100, 0.7 * 5/32, 0.8 * 5/32, 0.9 * 5/100, 1 * 5/100]$	[-5, 5]	30	0

TABLE 2. The details of the compared algorithms.

Algorithm	Parameters
BBA	$A=0.9$ $r=0.9$ $F_{max}=2$ $F_{min}=0$
BDE	$cr=0.9$
BGWO	$a=2$
BPSO	$c_1=2$ $c_2=2$ $w=2$ $w_{max}=0.9$ $w_{min}=0.4$ $V_{max}=6$
BPSOGSA	$\alpha=20$ $G_0=100$ $Rnorm=2$ $Rpower=1$

TABLE 3. The statistical results of the compared algorithms.

Function	BBA		BDE		BEO		BGWO		BPSO		BPSOGSA	
	AVG	STD	AVG	STD	AVG	STD	AVG	STD	AVG	STD	AVG	STD
$f_1$	1.0667	0.9803	2.9333	1.6595	0	0	5.2333	1.2507	0.0333	0.1826	0	0
$f_2$	1.0667	1.0483	3.0667	1.388	0	0	4.9667	1.3257	0.0333	0.1826	0	0
$f_3$	26.2333	39.9704	148.5	117.0967	0	0	321.6333	166.2421	0.1	0.3051	0.0333	0.1826
$f_4$	0.8333	0.379	1	0	0	0	1	0	1	0	1	0
$f_5$	290.4333	159.6282	0	0	0	0	0	0	116.5333	95.5369	198.9333	120.9357
$f_6$	9.5667	2.2581	13.7	2.7965	7.5	0	18.6333	3.3086	7.5667	0.3651	7.5	0
$f_7$	25.5569	22.7144	50.7334	22.9601	0.0001	0.0001	72.6001	16.2896	0.431	0.6022	0.0668	0.2537
$f_8$	-17.3624	0.8114	-25.2441	0	-25.2441	0	-25.2441	0	-25.2441	0	-25.2441	0
$f_9$	1.0667	1.1427	3.7333	1.4126	0	0	4.8667	1.4077	0.0667	0.2537	0	0
$f_{10}$	0.5521	0.4578	1.2127	0.2755	0	0	1.606	0.1836	0	0	0	0
$f_{11}$	0.0372	0.0376	0.1624	0.0587	0	0	0.2331	0.0603	0.0017	0.0052	0	0
$f_{12}$	1.8514	0.1334	2.0876	0.1971	1.669	0	2.5868	0.2465	1.6707	0.0096	1.669	0
$f_{13}$	0.9567	0.1135	0	0	0	0	0	0	0.0033	0.0183	0	0
$f_{14}$	12.6705	0	12.6705	0	12.6705	0	12.6705	0	12.6705	0	12.6705	0
$f_{15}$	0.1484	0	0.1484	0	0.1484	0	0.1484	0	0.1484	0	0.1484	0
$f_{16}$	0	0	0	0	0	0	0	0	0	0	0	0
$f_{17}$	27.7029	0	27.7029	0	27.7029	0	27.7029	0	27.7029	0	27.7029	0
$f_{18}$	600	0	600	0	600	0	600	0	600	0	600	0
$f_{19}$	-0.3348	0	-0.3348	0	-0.3348	0	-0.3325	0.0087	-0.3348	0	-0.3348	0
$f_{20}$	-0.1507	0.0306	-0.1602	0.0143	-0.1657	0	-0.1415	0.0478	-0.1657	0	-0.1657	0
$f_{21}$	-4.6379	1.2734	-5.0552	0	-5.0552	0	-5.0552	0	-5.0552	0	-5.0552	0
$f_{22}$	-4.8092	1.0597	-5.0877	0	-5.0877	0	-5.0877	0	-5.0877	0	-5.0877	0
$f_{23}$	-4.7105	1.2754	-5.1285	0	-5.1285	0	-5.1285	0	-5.1285	0	-5.1285	0
$f_{24}$	887.8665	4.72	900	0	857.243	0	870.6608	6.3999	857.3351	0.1694	857.3345	0.433
$f_{25}$	918.036	12.7047	900	0	915.2382	4.1422	924.2313	3.5271	916.3785	0.1103	916.4276	0.2109
$f_{26}$	948.7455	63.8031	900	0	900	0	1147.0084	28.1442	906.4274	11.1142	903.1327	8.1404
$f_{27}$	934.2393	22.9375	900	0	900	0	1012.6667	8.2132	900.9881	5.412	900	0
$f_{28}$	955.805	41.2041	900	0	900	0	1064.43	9.0621	904.4227	13.4979	907.5154	17.0998
$f_{29}$	908.6275	7.757	900	0	900	0	926.5543	2.7821	903.8725	4.1036	908.0299	6.4098

Fixed-dimension function only has a few local optimal solutions and the dimension is small. BEO, BPSO and BPSOGSA have exactly the same results. BGWO shows not well in  $f_{20}$  and BGWO performs worse in  $f_{19}$  and  $f_{20}$ . BBA executes the worst. Composite multimodal function has exceptionally complex structures with several randomly located deep local optima and many randomly located global optimum. The compared algorithms don't achieve good results. BDE and BEO complete relatively well. Although BEO does not perform as well as BDE in  $f_{25}$ , it is better than BBA, BGWO, BPSO and BPSOGSA.

**4.2. Analyse the Time Complexity and Convergence.** The time complexity of BBA, BDE, BEO, BGWO and BPSOGSA is  $O(T^*P*f+T^*P*D*ft)$ , while BPSOGSA is  $O(T^*P*f+T^*P^*P^*D*ft)$ . Where  $T$  is the iterations,  $P$  means the population size,  $f$  represents the computational time of the fitness function,  $ft$  denotes the running time of transfer function. Table 5 is the average time of the compared algorithms running once in the benchmark function. It can be seen that BPSO has the least time complexity because of its few parameters and simple updating equations. Although the solution quality of BPSOGSA has been greatly improved compared with BPSO, it utilizes the calculation equations of BPSO and BGSA, and has a large running time. The transfer function of BBA is more complicated than BDE, BEO, BGWO and BPSO, so the time is large. BEO is the second only to BPSO, which shows that its equations are not too complicated. The compared algorithms have the lowest time complexity in  $f_{15}$ - $f_{23}$  and have little difference for running time in  $f_1$ - $f_{12}$ , which indicates that the dimension is a significant element



TABLE 4. Wilcoxon's rank-sum test for the optimal results on BEO.

Function	BBA	BDE	BGWO	BPSO	BPSOGSA
$f_1$	-	-	-	=	=
$f_2$	-	-	-	=	=
$f_3$	-	-	-	=	=
$f_4$	-	-	-	-	-
$f_5$	-	=	=	-	-
$f_6$	-	-	-	=	=
$f_7$	-	-	-	-	=
$f_8$	-	=	=	=	=
$f_9$	-	-	-	=	=
$f_{10}$	-	-	-	=	=
$f_{11}$	-	-	-	=	=
$f_{12}$	-	-	-	=	=
$f_{13}$	-	=	=	=	=
$f_{14}$	=	=	=	=	=
$f_{15}$	=	=	=	=	=
$f_{16}$	=	=	=	=	=
$f_{17}$	=	=	=	=	=
$f_{18}$	=	=	=	=	=
$f_{19}$	=	=	=	=	=
$f_{20}$	-	-	-	=	=
$f_{21}$	=	=	=	=	=
$f_{22}$	=	=	=	=	=
$f_{23}$	=	=	=	=	=
$f_{24}$	-	-	-	-	=
$f_{25}$	-	+	-	-	-
$f_{26}$	-	=	-	-	-
$f_{27}$	-	=	-	=	=
$f_{28}$	-	=	-	=	-
$f_{29}$	-	=	-	-	-

influencing the time complexity of algorithm and it is not related to whether the test function is an unimodal or a multimodal function. While they have large computational time in  $f_{24}$ - $f_{29}$ , which proves that the structure of the benchmark function affects the time complexity of algorithm.

Unimodal function includes merely a global optimal solution and it has no local trap. Consequently, it is useful to examine the convergence rates of the algorithms. Figure 2 displays the curves of the compared algorithms in the unimodal functions. In  $f_1$ ,  $f_2$ ,  $f_3$ ,  $f_6$  and  $f_7$ , BBA has a faster convergence speed at the beginning of iteration, but the final results are worse than BEO due to its stagnation. While the convergence rates and final solutions of BEO are better than BDE, BGWO, BPSO and BPSOGSA. In  $f_4$ , BDE, BGWO, BPSO and BPSOGSA have the completely consistent performance, therefore just the convergence curve of BPSOGSA is seen in the figure. In  $f_5$ , BBA, BGWO and BPSOG converge faster than BEO, and merely the solution of BGWO is the same as BEO. BEO not only has the ability of fast convergence, but also finds the optimal solution.

From above discussion, the optimization ability, time complexity and convergence are mentioned. BEO inherits the low calculation time of EO and has strong abilities of finding the optimal solution and fast convergence through the new transfer function. Because the positions of binary algorithms only take 0 and 1, it must be pointed out that these algorithms converge quickly. But once they get caught in a local optimum, the algorithms are easily being stagnation.

TABLE 5. The average running time of the compared algorithms.

Function	BBA	BDE	BEO	BGWO	BPSO	BPSOGSA
$f_1$	1.5155	0.3468	0.325	9.5608	0.1337	1.4695
$f_2$	1.5239	0.3619	0.3412	9.5345	0.1505	1.488
$f_3$	2.1954	1.0487	1.0267	10.2841	0.8462	2.2584
$f_4$	1.667	0.4118	0.3846	9.5601	0.1914	1.5397
$f_5$	1.5974	0.4454	0.4187	9.5892	0.2258	1.5473
$f_6$	1.5966	0.439	0.4031	9.5737	0.2227	1.5462
$f_7$	1.6356	0.4471	0.4399	9.6186	0.243	1.5365
$f_8$	1.6221	0.4757	0.4347	9.6094	0.249	1.559
$f_9$	1.6474	0.4683	0.4432	9.659	0.239	1.5572
$f_{10}$	1.6462	0.4805	0.4433	9.6401	0.2604	1.5743
$f_{11}$	1.6727	0.5114	0.4737	9.6846	0.2846	1.5975
$f_{12}$	1.9866	0.8043	0.7861	9.9524	0.5924	1.9086
$f_{13}$	1.959	0.8013	0.7831	9.9202	0.574	1.8799
$f_{14}$	1.5353	1.6827	1.5475	1.949	1.4293	2.2927
$f_{15}$	0.3936	0.4406	0.32	1.4238	0.1774	1.1339
$f_{16}$	0.221	0.3739	0.2434	0.7266	0.1078	1.0193
$f_{17}$	0.2118	0.3657	0.2405	0.7218	0.1006	1.0113
$f_{18}$	0.227	0.3753	0.2483	0.7295	0.1111	1.022
$f_{19}$	0.4146	0.5181	0.3974	1.1804	0.2505	1.1806
$f_{20}$	0.56	0.5197	0.413	2.1291	0.2595	1.2422
$f_{21}$	0.4933	0.5501	0.4369	1.5414	0.2933	1.231
$f_{22}$	0.5477	0.6014	0.492	1.5811	0.3392	1.2797
$f_{23}$	0.6262	0.6776	0.5802	1.663	0.4162	1.3554
$f_{24}$	73.7952	73.4408	68.9057	78.7799	69.4932	70.49
$f_{25}$	78.097	74.1121	73.4106	82.7751	73.8172	74.8666
$f_{26}$	75.3479	71.4213	69.9987	79.9712	70.2471	71.4914
$f_{27}$	100.8156	96.3498	96.6701	107.2902	95.3859	97.3836
$f_{28}$	100.8077	96.6088	96.0334	105.1755	94.5929	97.2641
$f_{29}$	102.2629	97.157	97.0355	104.8673	94.8697	98.5768

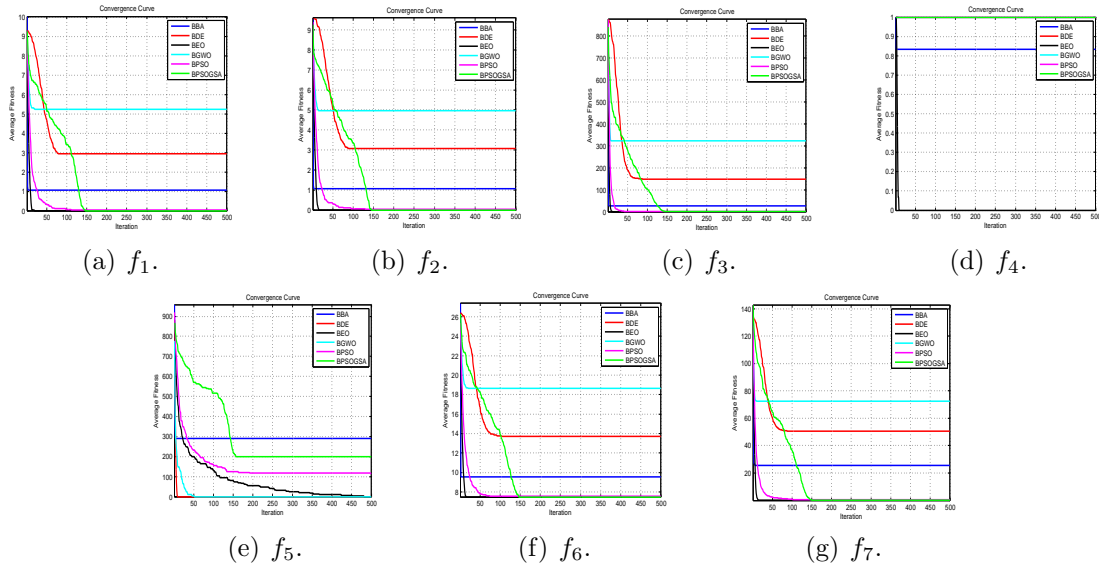


FIGURE 2. Convergence curves of the unimodal benchmark functions.

**5. Application for Feature Selection.** This section will use the wrapper method to implement feature selection in the UCI datasets. It adopts KNN and K-fold cross validation as the classification algorithms, the commonly used in the data mining [45, 46].

TABLE 6. The details of the simulation data sets.

Data set	Name	Instances	Attributes
Adult	Adult	48842	14
Breast Cancer Wisconsin (Diagnostic)	CancerWD	569	32
Breast Cancer Wisconsin (Prognostic)	CancerWP	198	34
Breast Cancer	Cancer	286	9
Car Evaluation	Car	1728	6
Chess (King-Rook vs. King-Pawn)	Chess	3196	36
Congressional Voting Records	Voting	435	16
Credit Approval	Credit	690	15
Dermatology	Dermatology	366	33
Statlog (Heart)	Heart	270	13
Lymphography	Lymphography	148	18
SPECT Heart	SPECT	267	22
Waveform (Version 2)	Waveform	5000	40

TABLE 7. The errors and numbers of the compared algorithms on KNN.

Data set	BBA		BDE		BEO		BGWO		BPSO		BPSOGSA	
	Error	Number	Error	Number	Error	Number	Error	Number	Error	Number	Error	Number
Adult	0.2467	5	0.257	5.4	0.2376	<b>1</b>	<b>0.2629</b>	5.3	0.2378	<b>1</b>	0.2394	1.9
CancerWD	0.0605	<b>9.2</b>	0.0544	20.25	<b>0.0488</b>	9.9	0.0569	19.5	0.0498	13.15	0.0491	14
CancerWP	0.2123	13.85	0.1998	22	<b>0.1858</b>	<b>11.75</b>	0.2108	21.45	0.1955	15.55	0.1893	16.05
Cancer	0.2467	3	0.2306	4.55	<b>0.2256</b>	<b>2.8</b>	0.2334	4.85	0.2282	3.35	0.2278	3.35
Car	0.1773	<b>1.15</b>	0.1009	5.8	0.0904	6	<b>0.0899</b>	6	0.0919	6	0.0907	6
Chess	0.1174	<b>8</b>	0.0748	27.45	<b>0.0374</b>	16.35	0.0736	26.5	0.0516	19.15	0.0532	18.1
Voting	0.17	6.35	0.155	9.85	<b>0.1506</b>	<b>6.15</b>	0.1633	10.95	0.1548	6.8	0.1542	7.55
Credit	0.1928	4.45	0.1835	7.6	<b>0.127</b>	<b>4.15</b>	0.1639	7.25	0.1316	4.5	0.1326	4.45
Dermatology	0.0548	<b>4.7</b>	0.0215	26.7	<b>0.0176</b>	16.6	0.0208	25.5	0.022	19.15	0.0208	19.35
Heart	0.418	4.05	0.393	6.95	<b>0.3762</b>	<b>3.75</b>	0.3925	6.9	0.3854	4.3	0.3883	4.8
Lymphography	0.1974	<b>4.85</b>	0.1464	11.8	<b>0.1325</b>	8	0.1547	11.8	0.1405	9	0.1418	8.95
SPECT	0.2747	8.1	0.257	16.05	<b>0.2376</b>	<b>7.25</b>	0.2573	15.05	0.2526	9.55	0.2503	9.9
Waveform	0.209	<b>9.2</b>	0.1766	33.2	<b>0.1602</b>	17.1	0.1741	31.65	0.1731	22.25	0.1666	22.35

**5.1. Simulation Results.** 13 data sets are employed to validate the performance of BEO. These data sets are from UCI machine learning repository [47] and Table 6 describes the details of the data sets. They run 100 iterations and 20 times on each data set, and every algorithm has 10 particles.

**5.2. KNN Simulation Analysis.** Table 7 is the data acquired by KNN. The *error* indicates the classification error of the algorithm and the *number* is the number of features obtained by the algorithm. The red font is the lowest error and the smallest number of features in the corresponding data set. It can be drawn that BEO achieves the least classification errors in the CancerWD, CancerWP, Cancer, Chess, Voting, Credit, Dermatology, Heart, Lymphography, SPECT and Waveform. BGWO implements the optimal results in the Adult and Car. BBA and BEO excel in the selected sub-features, and they get the minimum values in 6 and 7 data sets respectively. While BPSO only performs well in the Adult. BEO accomplishes the minimum errors and features in the CancerWD, Cancer, Voting, Credit, Heart and Spect.

The compared algorithms have small classification errors in the CancerWD and Dermatology, and have large errors in the Heart. This is because the features of CancerWD and Dermatology are single and it is easy for them to establish classification models. Although there are only two types of Heart, its data structure is complex. Adult has lots of instances, but its missing data is also the reason for the high classification error.

**6. Conclusions.** Although Equilibrium optimizer has just been proposed, it has shown great performance in the benchmark functions and engineering applications. To apply EO to feature selection, this paper brings a binary version, named BEO. It retains most

of the operations of EO, so it well balances exploration and exploitation. The transfer function converts the operational result of EO to  $[0,1]$  interval, therefore it has a crucial impact on the performance of BEO. This paper proposes a new transfer function to complete the value representation. The experiments show that BEO exceeds BBA, BDE, BGWO, BPSO and BPSOGSA. At the end of the paper, these algorithms complete feature selection in the UCI and BEO shows excellent performance.

For further studies, we conceive applying BEO to practical applications such as power system, text classification and so on. It is also worth studying the variant of BEO to get ideal result in a particular area.

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